

On behalf of:



of the Federal Republic of Germany

INTERNATIONAL CLIMATE INITIATIVE (IKI)



## FIRST NETWORKING WORKSHOP OF THE IKI VIET NAM COMMUNITY

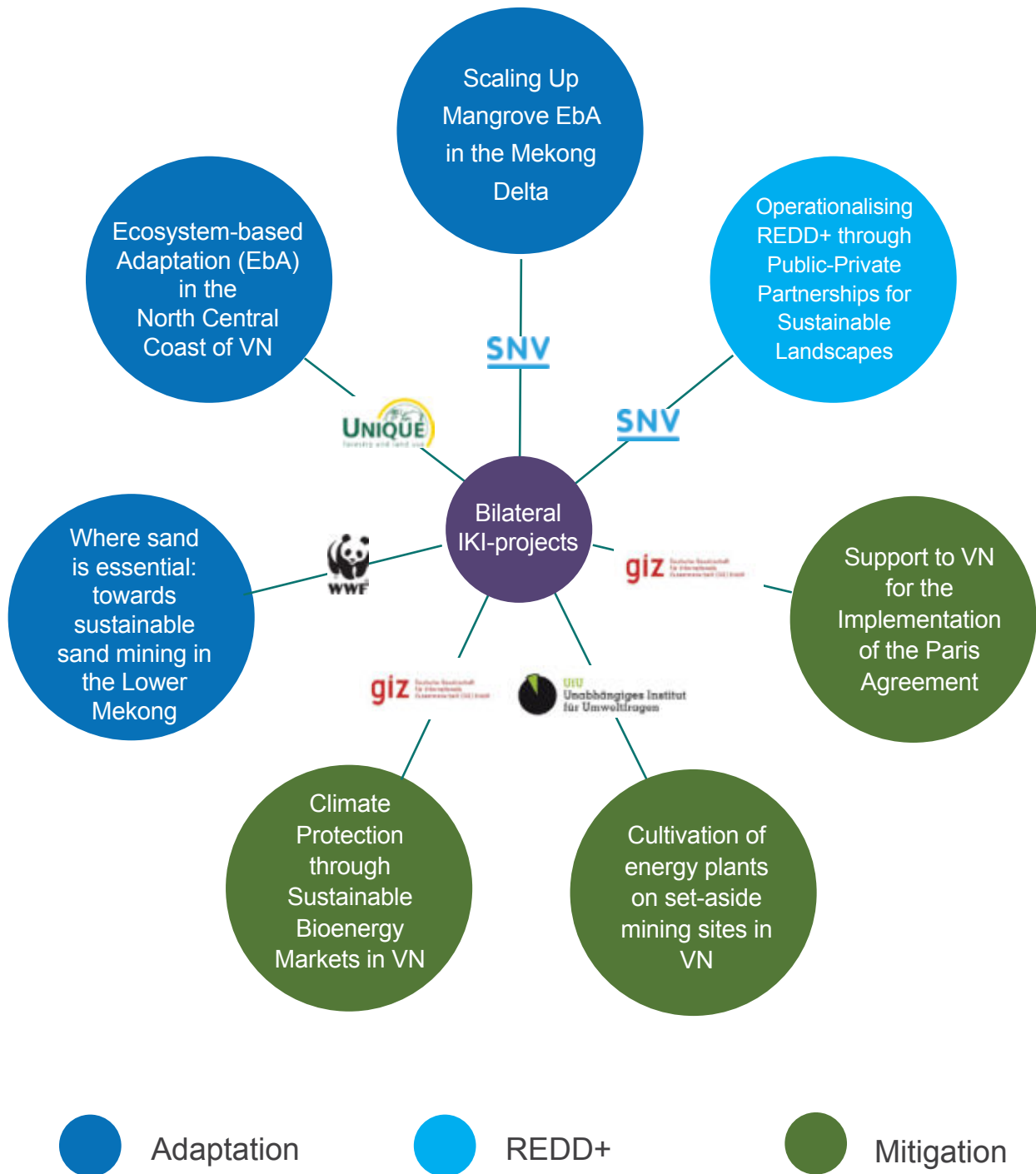
30-31 OCTOBER 2019

PRESS CLUB, HANOI

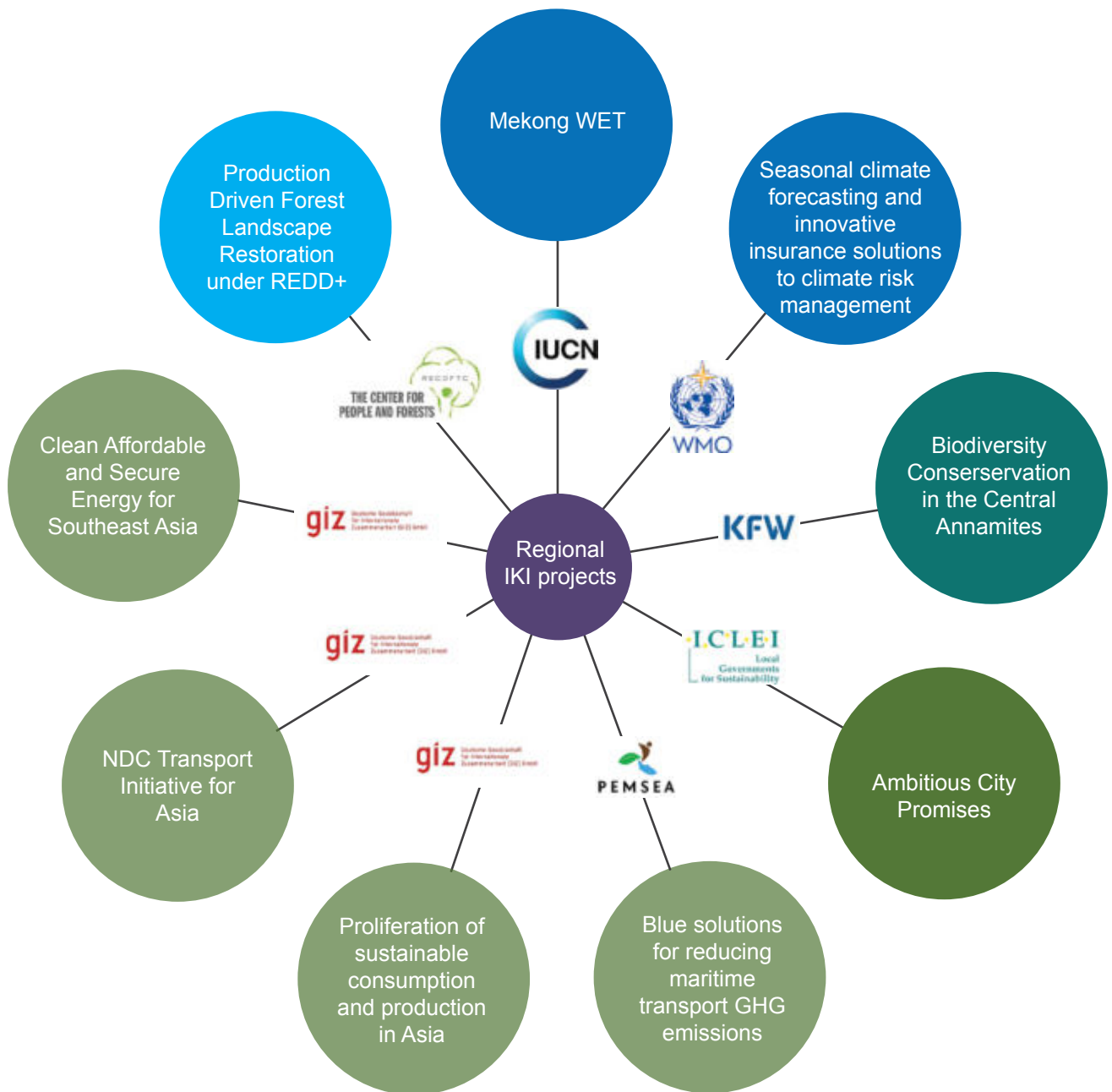


# THE INTERNATIONAL CLIMATE INITIATIVE (IKI) IN VIET NAM

## IKI Portfolio Viet Nam – Bilateral



# IKI Portfolio Viet Nam – Regional



- Adaptation
- Biodiversity
- Mitigation
- Mitigation (planned)
- REDD+

# IKI Portfolio Viet Nam – Global





## FIRST GATHERING OF THE IKI VIET NAM COMMUNITY

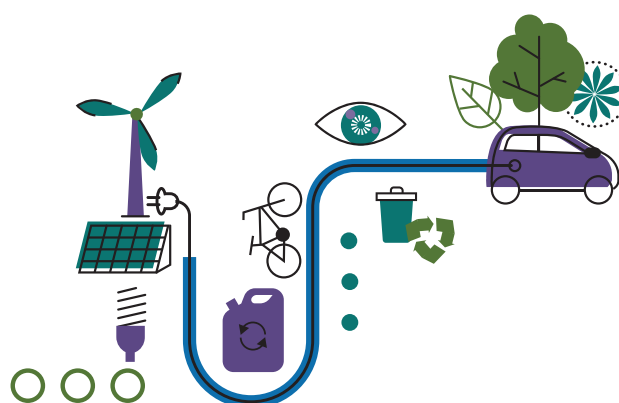
With over 70 approved projects since 2008 and total funding of EUR 40 million for bilateral projects only (without regional or global IKI projects), Viet Nam is a focus country for IKI, the International Climate Initiative funded by the German Federal Ministry for the Environment, Nature Conservation, and Nuclear Safety (BMU).

As part of IKI's knowledge management strategy, "interface projects" have been set up in selected partner countries. These projects support the exchange and knowledge transfer of all implementing organisations and projects within the respective implementing country and provide BMU with information regarding entire country portfolios.

In Viet Nam, the project "Support to Viet Nam for the implementation of the Paris Agreement" was assigned to serve as the IKI interface.

On 31 October, the IKI interface in Viet Nam hosted the first IKI Viet Nam networking workshop. Around 60 representatives from IKI projects, their Vietnamese partners, the German Ministry for the Environment (BMU), and the IKI Secretariat administered by ZUG GmbH came together for the workshop. The aims of the workshop were to identify potential synergies between projects and to encourage the exchange of success factors and challenges in project implementation, in particular in the context of the national NDC process.

In the evening before the workshop, an official reception was held for the "IKI Community" in Vietnam and selected guests. On this occasion, implementing organisations presented their projects, and IKI's success in Viet Nam was celebrated with the German Ambassador and the Vice Minister of Viet Nam's Environment Ministry, MONRE.



## IKI RECEPTION EVENING OF OCTOBER 30

A project exhibition provided the framework for informal exchanges among IKI implementers, Vietnamese political partners, and representatives of the BMU. Around 100 invitees celebrated 10 years of successful IKI implementation in Viet Nam.

**Ambassador of the Federal Republic of Germany,  
Dr. Guido Hildner:**



*“Since its establishment, the International Climate Initiative has launched 16 bilateral and 63 global or regional projects that have been active in addressing various climate issues in Viet Nam. These projects have supported and catalyzed mitigation and adaptation efforts all over the country, and have strengthened Germany’s relationship with Viet Nam. Together, we have achieved further mobilisation of a vast array of different actors including academia, civil society, the private sector, and city authorities to work together to tackle climate change and halt the loss of biodiversity.”*

**Deputy Minister of Natural Resources and the Environment in  
Vietnam, Le Cong Thanh:**



*“Several Ministries in Viet Nam continue to receive support from IKI for the development and implementation of sectorial mitigation and adaptation activities. These activities include protecting ecosystems, sustainable management of forests, and promoting renewable energies and clean transport.*

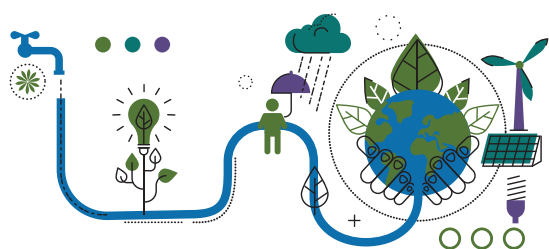
*This support contributes to MONRE’s commitments and achievements in the context of international agreements, such as the Paris Agreement, the Convention on Biological Diversity, and the international framework for REDD+. [...] For the future, I hope that MONRE, BMU, GIZ, and all implementing partners will continue to promote and enhance cooperation in the field of climate change and biodiversity conservation, [...].”*

# IKI RECEPTION



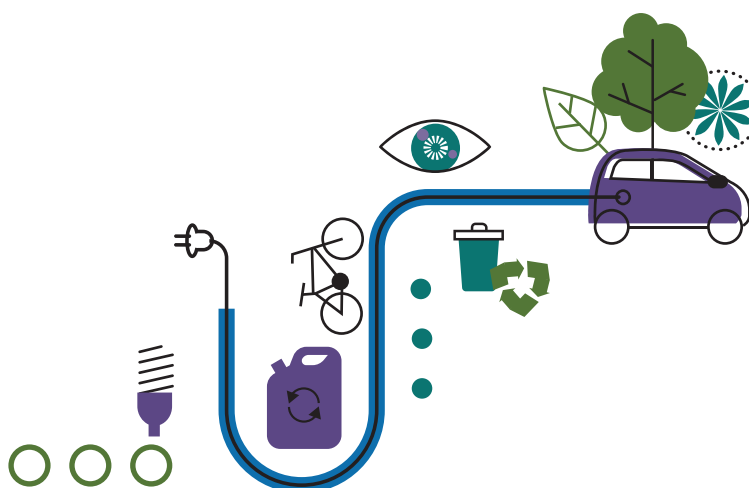
# IKI WORKSHOP “VIET NAM’S NDC: PROVIDING A FRAMEWORK FOR IDENTIFYING SYNERGIES”

OCTOBER 31



## Workshop Objectives:

- To connect IKI implementers working in Viet Nam and enable knowledge transfer and exchange on shared challenges and synergies
- To foster dialogue between IKI implementers, Vietnamese partners, BMU and ZUG representatives on the ongoing NDC process and entry/connecting points for IKI projects
- To discuss how IKI project activities feed into climate change priorities of the Vietnamese Government
- To analyze the national framework conditions (political environment, legal frameworks, the administrative system, etc.) and how IKI projects may use windows of opportunity
- To provide scope for informal exchanges
- To promote the Vietnamese IKI project portfolio





# IKI WORKSHOP “VIET NAM’S NDC: PROVIDING THE FRAMEWORK FOR IDENTIFYING SYNERGIES”

OCTOBER 31

## Session 1: IKI in Viet Nam and update from BMU

**Barbara Schäfer, BMU**, presented the history of IKI and provided information on upcoming IKI Calls and future procedures.



- 15% of German climate finance comes from IKI
- IKI has allocated close to EUR 3.4 billion to projects since 2008
- Viet Nam is one of 14 IKI focus countries
- Currently there are 7 ongoing bilateral projects and 37 regional and global projects (35 projects have already been completed)
- The IKI Country Call Viet Nam
  - Likely to be published by the end of this year
  - There will be two large programmes (one on mitigation, one on adaptation)
  - Focus on NDC implementation and biodiversity
  - Financial volume will be between EUR 12-15 Million for each programme
  - The set-up of project consortia is required
  - 50% of the overall funding must be allocated to national organisations
  - The period of programme outline development is 4 months.
- The next IKI Thematic Call
  - Was launched on November 11
  - Programme outlines can be submitted until 19 March 2020
  - The set-up of project consortia is required
  - 13 thematic fields that need to be addressed by regional (or global) consortia
  - Between EUR 5-20 Million for proposed projects/programmes.

“ This Community is really fantastic! ”





- General information on IKI Calls
  - 2-4 Country Calls annually with BMU partner countries
  - 1-2 Thematic Calls annually
  - Leaders of consortia need to be large organisations with a track record of project implementation and good infrastructure in the country (to be able to absorb funding of up to EUR 20 Million) – hence smaller organisations are encouraged to approach these organisations and “offer” their services as requested in the IKI Calls
  - BMU is happy to discuss further on a regular climate policy dialogue between Viet Nam and Germany (so far, there are no regular meetings or working group)
  - The period from issuing IKI Calls to project start is usually between two and three years (difficult to proceed faster because of coordination requirements)
  - The assessment of submitted programme outlines includes an external evaluation based on a scoring system (disclosure of the scoring results may be discussed in the future).
- **IKI Small Grants**
  - GIZ is commissioned to run the small-scale funds programme
  - National organisations/groups/projects may apply for funds in the context of calls for proposals
  - Call for proposals will open soon
  - Proposals handed in by March 2020 should be commissioned by October of the same year.
- **Z-U-G Medium Grants Fund**
  - Administered by Z-U-G
  - Focus on funding for science-based work of European NGOs and think tanks (for the first year, German organisations only)
  - Call will be published in December 2019.
- **Project extensions:**
  - Although the budget available for extensions is limited, it is still possible to prolong already ongoing projects. If desired, BMU needs to be actively approached.
- **Overall strategy of IKI:**
  - Towards programmatic approaches: easier to reach scale, more impact and visibility
  - BMZ is planning to allocate more finance to climate. More inter-ministerial coordination will be needed.

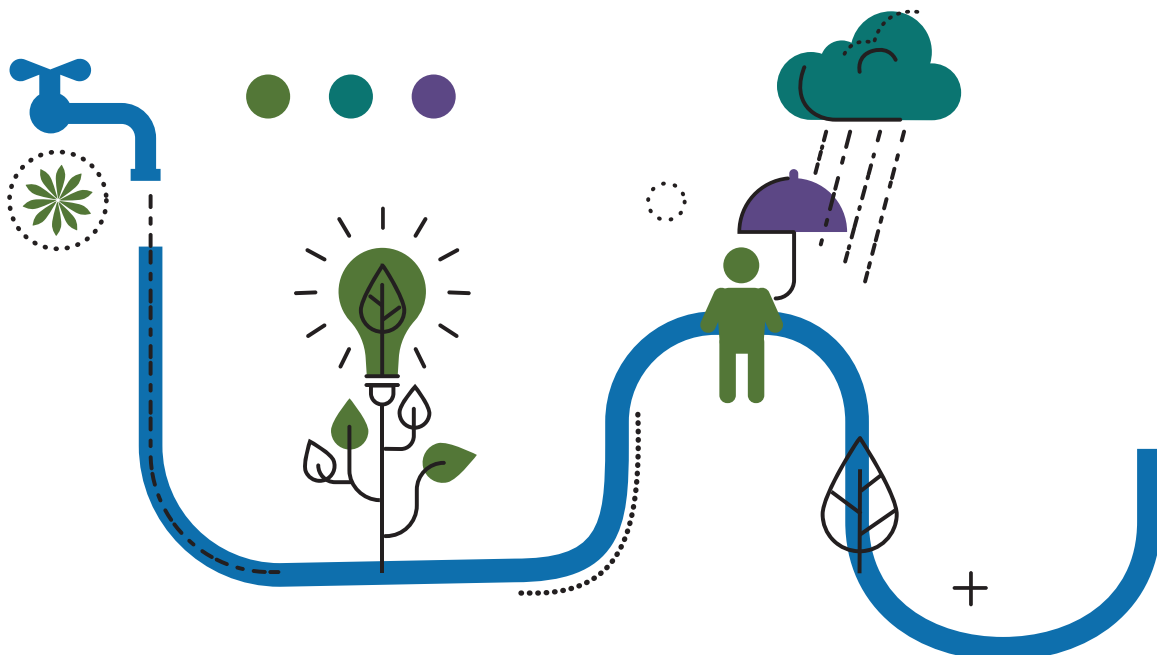




**Hendrik Lorenz presented the IKI Secretariat administered by Zukunft – Umwelt – Gesellschaft (ZUG) gGmbH:**



- ZUG, a federally owned organization established in 2017, manages programmes and projects on behalf of BMU
- ZUG has 150 employees working in several thematic teams
- Since 2019, all IKI projects and processes have been transferred to ZUG
- Communications:
  - ZUG would like to share IKI project news via its website and Twitter account – please “double-use” your PR material
  - Feel free to approach Hendrik Lorenz with your news including pictures
  - For quick and effective clarification of complex matters around IKI projects, direct communication is encouraged (e.g. Skype Calls).



**Anna Schreyoegg, GIZ Viet Nam, introduced the role and tasks of the “IKI Interface” in Viet Nam:**



- As part of the IKI knowledge management strategy, “interface projects” have been set up in selected partner countries
- **Main tasks of the IKI interface include:**
  - Supporting the exchange of all implementing organisations and projects that are active in Viet Nam:
    - Maintenance of an “IKI Viet Nam” email and contact list
  - Providing information regarding the entire country portfolio:
    - Tailored information for IKI projects (e.g. on the climate-political context, activities, etc.)
    - Tailored information for BMU (demand-oriented)
  - Contributing to knowledge transfer and fostering synergies, and the dissemination of best practice and project results:
    - Bilateral meetings at the start of projects
    - Networking and exchange events; linking projects with a similar focus
    - Regular distribution of the “IKI Viet Nam Newsletter”
- **The IKI Interface can be accessed any time via [iki.vietnam@giz.de](mailto:iki.vietnam@giz.de)**
  - Please contact the interface when your project status changes (from planned to approved to closed) and send us your project contact details/ inform us about changes
  - Please send proposals for newsletter contributions to the interface (e.g. texts about implemented events, project outputs, project videos, announcement of events, impact stories, etc.)
  - The IKI Interface will also reach out to projects regularly.



# IKI WORKSHOP “VIET NAM’S NDC: PROVIDING THE FRAMEWORK FOR IDENTIFYING SYNERGIES”

OCTOBER 31

## Session 2: Viet Nam’s NDC: Providing direction for IKI projects

**Pham Van Tan, Deputy Director of the Department of Climate Change at MONRE**, introduced key contents of the Vietnamese NDC and related support needs



- NDC Review and Update since mid-2017, in-depth technical work on energy and transport, agriculture, industrial processes, waste, LULUCF (Land Use, Land- Use Change, and Forestry) and adaptation including Loss & Damage supported by both UNDP and GIZ, UN Women continued to support gender-responsiveness in the NDC
- Inter-ministerial work has almost been completed; agreement on mitigation options has been achieved (except LULUCF, internal consultation ongoing, expected to be finalized by November)
- The updated NDC will entail a strong emphasis on co-benefits (based on socio-economic analyses and modelling)
- 7 sectors were identified for climate adaptation (plus one additional cross-sectoral category)
- The Review and Update shall be completed by March 2020 (final draft was planned to be completed by COP 25, followed by one further loop of revisions)
  - Important to meet this deadline with a view on integrating the updated NDC into Viet Nam’s five-year planning cycle

“ **Climate change activities must be integrated into all government activities** ”





- In 2018, with support from GIZ, a comprehensive mapping of the donor-supported climate change portfolio in Viet Nam and a subsequent gap analysis was conducted based on the Plan for Implementation of the Paris Agreement (PIPA). Tasks from PIPA that need additional support are related to the following fields:
  - Climate proofing of infrastructure investment
  - National and sectoral GHG inventories
  - Support for the establishment of hydro-meteorological systems
  - Risk insurance products and mechanisms
  - Financial instruments for NDC implementation
  - National reporting and MRV in the context of international agreements
  - Updates of private and public expenditure reviews
    - IKI Community can approach MONRE to provide support/project results on these specific fields
- In the future, a NDC Portal will be published which will provide transparent information on support received and support needed
- For 2021-2030, MONRE has identified the following priority needs for support in the context of IKI:

#### **In the context of the Paris Agreement:**

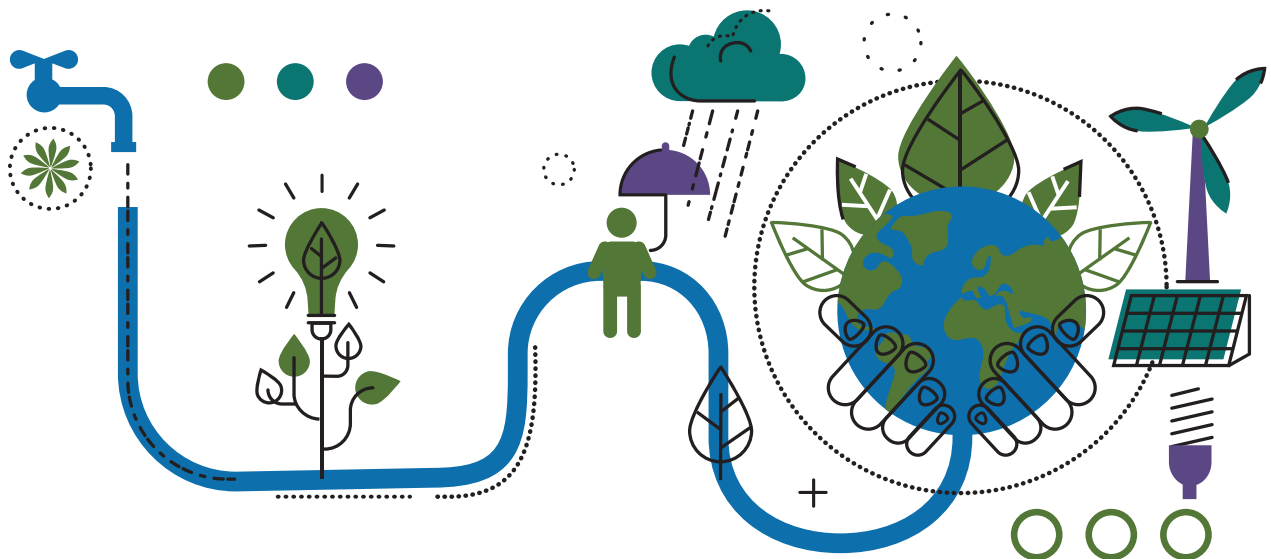
- Strengthening the legislative climate change framework
  - a Climate Change Law is desired by MONRE
- Enhancing mitigation actions and enabling the business sector to become driving forces in NDC implementation
- How to attract financial flows?
  - So far, hardly any project has submitted an approach on leveraging finance – approaches on working with the financial sector are very welcome
  - Evaluation on carbon markets is of interest
- Facilitating large-scale adaptation actions (implementation of NAP)
  - local/grassroots level experience is very important
  - The Mekong Delta is a priority region
- Providing science-based evidence for political decision makers
  - Quantitative impact assessments; update of climate change scenarios; alignment with upcoming Synthesis Reports of the 6th IPCC Assessment Cycle





### In the context of the Convention on Biological Diversity:

- Strengthening policies and the legislative framework
  - Establishment of monitoring systems
  - Development of financial and safeguard instruments
  - Controlling invasive alien species
  - Management of protected areas for vulnerable habitats
  - Capacity building and awareness raising
- **Background on the ongoing enhancement of the policy framework:**
    - Law on Environmental Protection (LEP) currently under revision. The chapter on climate change is to be aligned with the NDC context. Also, an article on carbon market development was added. The revised draft is expected to be submitted to the Government in November.
    - A draft Decree on a roadmap for GHG emissions reduction was developed in 2017; however, it has been put on hold. After COP 24, the Decree was aligned with requirements resulting from the Kattowitz Rulebook. The Decree is expected to be by the end of this year.
    - The National Adaptation Plan (NAP) is also expected to be issued by end of this year.





**Nguyen Tuan Anh, Deputy Director of the Department of Science, Education, Natural Resources and Environment at MPI, introduced current key activities and expectations for IKI**



- The Prime Minister has assigned MPI to update the national Green Growth Strategy (GGS) for the period 2021-2030
- In the meanwhile, implementation of the provincial and sectoral Green Growth Action Plans continues, among others in the city of Hanoi
- The State Bank of Viet Nam has issued a first Green Bond, which may serve as a blueprint for upscaling
- MPI actively seeks for international experience and best practice for commercial “green banks” (e.g. experiences from Mongolia)
- MPI envisages to interfere in the public investment sector by integrating “green” components (e.g. green procurement)
- Currently, the development of the Socio-Economic Development Strategy (SEDS) is ongoing, but it is unclear to what extent NDC/GG content is taken up. The process is different compared to 10 years ago. Accordingly, a governmental decision has determined the process and contents to be confidential, even MPI has limited information. However, a consultation is envisaged for 2020.
- MPI proposes to work with commercial banks on GG/NDC and to develop policy frameworks for green bonds in the context of IKI.

**“ I believe that the coordination work is very important ”**







## IKI WORKSHOP “VIET NAM’S NDC: PROVIDING THE FRAMEWORK FOR IDENTIFYING SYNERGIES”

OCTOBER 31

### Session 3: Group work on synergies among IKI projects working on similar thematic areas

#### Working groups and synergies across IKI projects:

##### Adaptation, implementation, and biodiversity:

- Biofinance (e.g. PEFS, PES, biodiversity offsetting mechanisms)
- “Green” environment (conservation, protected area merit)
- Data management/reporting mechanisms (streamlined reporting).

##### Adaptation planning

- Climate services
- Climate planning (linking national – local planning, e.g. NAP or NDC)
- Finance
- Risk understanding.

##### Forestry:

- Forest restoration/livelihoods/REDD+
- Landscape restoration
- Local community
- All have a particular focus on value chains
- Linkages to national and local planning processes (e.g. new Planning Law).

##### National mitigation policies and markets

- Development of new project ideas in related projects
- Up-channeling of experiences from activities (e.g. renewable energies) to policy level (NDC)
- Constant attempts to link up at political level and inform parallel processes such as the VEPG (Viet Nam Energy Partnership Group) and the NDC.

##### Urban and Transport:

- Electric 2-wheelers
- Fuel economy
- Data collection (e.g. for electric fleet composition, motorbike surveys)
- Integration of transport into urban development/planning
- Similar mechanisms for incentivizing mechanisms in buildings and transport.





## Hampering factors in coordination among IKI projects

- Limited resources available for cooperation (continuous balancing of transaction costs)
- Data sharing (partly because of sensitive data, partly because of partners' reluctance to share data, partly because people don't think of it)
- No commonly applied strategy to disseminate project results
- Linking different levels of project implementation (national – regional – local, in all directions)
- Feeding into the policy dialogue/policy processes (especially small projects or global project components)
- Creation of business cases (e.g. for climate services/forecasting)
- Limited awareness about links between energy and climate/NDC among respective stakeholders
- Hard to find Vietnamese institutions that are capable of absorbing funding
- Strong emphasis on “fulfillment” of indicators – limited resources for deviations.



## Suggestions and experiences on IKI project best practice

- Working groups including donors, ministries, other relevant stakeholders
- Keep projects working on similar topics “in the loop”, invite them (and other donors) to your events, etc.
- “Scale” is required to be visible among the variety of development partners – one focus therefore should be on upscaling successful approaches and pilots
- Bilateral and regional projects with a project infrastructure in Viet Nam might serve as “focal points” for global project components in similar fields
- Joint advocacy of different IKI projects for common concerns/interests (e.g. similar incentivizing mechanisms etc.)
- IKI Interface might organise/implement joint training activities or thematically specialized workshops across projects
- It is crucial to have the “right” partners with a mandate to implement project activities. Improved access to (for example, the ministerial counterpart) might be used to invite political partners to important events (ICLEI uses this approach)
- Need for flexibility: Especially for policy advice projects that need to adapt quickly to changing policy contexts or new framework conditions.





## How to improve knowledge transfer and the dissemination of project results among IKI implementers?

- Use the IKI newsletter as a central tool for communication/dissemination of project outputs
- No need to hand in “perfect” newsletter articles; the IKI interface team can take over text writing on the basis of bullet points
- If you have your own project newsletters, absorb information relevant to the IKI Viet Nam Community and send on to the IKI VN interface
- Faster responses from IKI Secretariat would be desirable
- Use interim report information more effectively (e.g. send this information to IKI Interface)
- Sharing of the “IKI project database” is considered helpful by some projects
- Thematic email groups for continuous discussion might be established
- Project factsheets on the IKI Interface would be useful.



# LIST OF PARTICIPANTS

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